# ARC Request for Proposals

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VIRGINIA APPALACHIAN REGIONAL COMMISSION
PROGRAM AND CONTACT INFORMATION

The Appalachian Regional Commission, or ARC, is an independent Federal agency created through the Appalachian Regional Development Act of 1965. ARC’s mission is to be an advocate for and partner with the people of Appalachia to create opportunities for self-sustaining economic development and improved quality of life.

Virginia is one of thirteen states in the ARC region. The Appalachian Regional Commission programs, other than the Highway program, are administered in the Commonwealth of Virginia by the Virginia Department of Housing and Community Development. The Virginia ARC Program is managed by:

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In Virginia, twenty-five counties and eight independent cities are eligible for the ARC program. These localities and their economic stress designation are as follows:

### Counties

<table>
<thead>
<tr>
<th>County</th>
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<tbody>
<tr>
<td>Alleghany County</td>
<td>Transitional</td>
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<tr>
<td>Bath County</td>
<td>Competitive</td>
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<td>Bland County</td>
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<tr>
<td>Botetourt County</td>
<td>Competitive</td>
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<tr>
<td>Buchanan County</td>
<td>At Risk</td>
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<tr>
<td>Carroll County</td>
<td>At Risk</td>
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<td>Craig County</td>
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<tr>
<td>Dickenson County</td>
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<td>Floyd County</td>
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<tr>
<td>Giles County</td>
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<td>Grayson County</td>
<td>At-Risk</td>
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<tr>
<td>Henry County</td>
<td>At Risk</td>
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<tr>
<td>Highland County</td>
<td>Competitive</td>
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<tr>
<td>Lee County</td>
<td>Distressed</td>
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<tr>
<td>Montgomery County</td>
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<td>Patrick County</td>
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<td>Pulaski County</td>
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<td>Rockbridge County</td>
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<td>Russell County</td>
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<td>Scott County</td>
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<td>Smyth County</td>
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<td>Tazewell County</td>
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<td>Washington County</td>
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<tr>
<td>Wise County</td>
<td>Distressed</td>
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<tr>
<td>Wythe County</td>
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### Cities

<table>
<thead>
<tr>
<th>City</th>
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<tbody>
<tr>
<td>City of Bristol</td>
<td>Transitional</td>
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<td>City of Buena Vista</td>
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<tr>
<td>City of Covington</td>
<td>Transitional</td>
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<td>City of Galax</td>
<td>At Risk</td>
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<tr>
<td>City of Lexington</td>
<td>Transitional</td>
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<tr>
<td>City of Martinsville</td>
<td>At Risk</td>
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<tr>
<td>City of Norton</td>
<td>Distressed</td>
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<tr>
<td>City of Radford</td>
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Virginia receives funding from the Appalachian Regional Commission on an annual basis and through special initiatives for distribution in these localities. Virginia prepares a State Strategy on an annual basis which guides its use of ARC funding.
Virginia ARC Program Objectives

Virginia's Four Year Development Plan and Annual Strategy Statement identify the objectives of Virginia’s program which are consistent with ARC's strategic goals. The state objectives are closely correlated to the Appalachian Regional Commission Goals, and several specific strategies are developed for each objective. Virginia’s objectives, the corresponding ARC goal, and the specific strategies are as follows:

**VIRGINIA ARC OBJECTIVE I**

Promote regional partnerships that support regional economic development, encourage activities that diversify the economic base, and enhance entrepreneurial activities,

**ARC Goal:**
Invest in entrepreneurial and business development strategies that strengthen Appalachia’s economy.

**Strategies:**

1.1 Encourage public-private sector partnerships and regional approaches to economic development, marketing, regional competitiveness, and business assistance.

1.2 Develop and market strategic assets for local economies (heritage and cultural tourism and creative economies).

1.3 Support tourism activities that are directly linked to overall regional economic development strategies.

1.4 Encourage projects which diversify the economic base, including asset-based development and energy-related projects.

1.5 Encourage communities and community leaders to develop the skills and tools necessary to use existing and innovative telecommunications technology to assist in their community and economic development efforts.

1.6 Participate in business development efforts which will assist existing businesses with expansion activities.

1.7 Assist with installation of public infrastructure (water, sewer, fiber) for new business location / expansion, creating jobs for the Region.

1.8 Assist in the expansion of the availability of capital for small and medium-sized businesses, including microenterprises and new small technology-based companies through the use of revolving loan funds or other financing mechanisms.

1.9 Assist small and medium-sized businesses to access markets for their products, with emphasis on export training and assistance that will enable them to participate in the international marketplace.

1.10 Encourage the development of telecommunications and other technologies to assist in improving the participation of Appalachian businesses in the international marketplace.

1.11 Support the expansion of value-added businesses and sustainable development strategies to build sustainable local economies.

1.12 Capitalize on the economic potential of the Appalachian Development Highway System.

1.13 Support programs that will ensure the availability of a workforce with skills and abilities required to promote economic retention and growth.
1.14 Strengthen the delivery of workforce training programs among the various provider agencies in order to improve the business retention, expansion, and formation efforts.

1.15 Support programs that will provide access to entrepreneurship training for high school students and adults.

1.16 Foster civic entrepreneurship.

1.17 Encourage Local Development Districts (LDDs) to become more involved in local and regional capacity building.

1.18 Support energy-related projects, including research of carbon sequestration, energy-efficiency technologies, alternative energies and biofuels, and coal mine reclamation.

1.19 Support projects that encourage broad-based, regional cooperation and provide increased regional economic growth opportunities.

2.3 Support programs that will improve the enrollment of Virginia Appalachians in post-secondary education.

2.4 Continue to expand educational opportunities through telecommunications.

2.5 Strengthen the delivery of workforce training programs among the various provider agencies in order to improve the business retention, expansion and formation efforts.

2.6 Implement work force readiness programs such as technologically literate work force training and technological training in math, science that will ensure students are given the necessary skills to enable them to find and hold jobs.

2.7 Encourage communities and community leaders to develop knowledge, skills and abilities necessary to use existing and innovative telecommunications technology to assist in their community and economic development efforts.

2.8 Encourage the establishment of partnerships and innovative arrangements among health care providers in Appalachian Virginia in order to assure quality care and the efficient use of available health care resources.

2.9 Encourage the use of telemedicine technology to assist in the delivery of rural health care services, to include wellness and prevention efforts.

2.10 Support projects which include wellness and healthcare prevention efforts.

2.11 Continue efforts to place doctors and other medical professionals in medically underserved areas through...
physician and other recruitment programs.

2.12 Support efforts to initiate and expand early childhood education programs.

2.13 Support programs to increase access to quality child care.

2.14 Encourage local leaders to develop innovative, cost-effective arrangements for delivery of healthcare services, including regional approaches, privatization and service consolidation.

**VIRGINIA ARC OBJECTIVE III**

Assist communities to develop, expand, and upgrade infrastructure, including broadband to allow for greater access to resources and opportunities for increased economic growth and improve the quality of life.

**ARC Goal:**

Invest in critical infrastructure – especially broadband; transportation, including the Appalachia Development Highway System (ADHS); and water/wastewater systems.

**Strategies:**

3.1 Assist community water supply and wastewater treatment projects that will support private investment leading to job creation or retention.

3.2 Assist community water supply and wastewater treatment projects that will support private investment leading to job creation or retention in distressed counties and that will address serious health/environmental compliance problems. Such projects could be proposed to be carried out through a Self-Help method of implementation.

3.3 Support continued efforts to expand the Region’s stock of safe, affordable housing.

3.4 Build and enhance environmental infrastructure, such as brownfields redevelopment and eco-industrial activities.

3.5 Support infrastructure projects where installation of telecommunication conduit (dark fiber) is included in construction with the larger infrastructure project, to increase local and regional connectivity.

3.6 Provide financial and technical assistance to communities for development of industrial sites and parks, shell buildings, business incubators and micro-enterprises.

3.7 Increase accessibility and utilization of telecommunications technology, especially to identify markets and consumer groups.

3.8 Support public-private sector partnerships, which provide clear and measurable service outcomes directly associated with quality sustainable job creation activities, to deploy advanced traditional and nontraditional cost-effective solutions and increase advanced telecommunications infrastructure capacity.

3.9 Provide access to child and adult care services in specific work-related environments.

3.10 Support local access road projects which would result in job creation or retention opportunities or community revitalization.

3.11 Help establish multi-county projects for establishing solid waste collection, disposal and recycling.

3.12 Foster development of an intermodal transportation network.
3.13 Capitalize on the ADHS and its development potential.
3.14 Support projects that promote energy conservation, green building, use of eco-friendly resources, and sustainable land-use practices.
3.15 Encouraging sustainable economic use of natural resources.
3.16 Conserving the natural places in the region for ecotourism.
3.17 Supporting land use and development strategies that promote sustainable communities and which seek to align transportation, housing, economic development, and environmental issues.
3.18 Capitalize on the ADHS and its development potential.
3.19 Encourage strategic, appropriate development along ADHS corridors (industrial parks, commercial development, and intermodal transportation).
3.20 Continue to support the development of the Coalfields Expressway.
3.21 Support infrastructure projects where installation of telecommunication conduit (dark fiber) is included in construction with the larger infrastructure project to increase local and regional connectivity.

**VIRGINIA ARC OBJECTIVE IV**

Assist communities in the preservation, development and promotion of natural and cultural assets for local economies and improved quality of life

**ARC Goal:**
Strengthen Appalachia’s community and economic development potential by leveraging the Region’s natural and cultural assets.

**Strategies:**

4.1 Preserve and strengthen existing natural and cultural assets in support of economic opportunities that generate local and regional benefits.
4.2 Preserve and strengthen existing natural and cultural assets through strategic investment that advance local and regional benefits.
4.3 Support strategic investments in natural and cultural heritage resources to advance local economic growth.
4.4 Support preservation and stewardship of community character to advance local economic growth.
4.5 Foster civic entrepreneurship.
4.6 Promote a development approach for the ADHS that preserves the cultural and natural resources of the Region while enhancing economic opportunity.
4.7 Encourage the leveraging of nature and recreation opportunities to the town/city center area.
4.8 Support the alignment of the preservation, development and promotion of natural and cultural assets with the guidelines and goals of Virginia Main Street designations which can help to achieve mobilization, redevelopment and economic development efforts.

**VIRGINIA ARC OBJECTIVE V.**

Strengthen current and next generation local and regional leadership capacity to innovate, enhance collaborative and community based skills to improve quality of life in Appalachia Virginia.
ARC Goal:
Build the community skills and current and next-generation leaders and organizations to innovate, collaborate, and advance community.

Strategies:

5.1 Support efforts encouraging civic engagement and participation to advance communities.

5.2 Encourage the development of leadership development opportunities.

5.3 Foster learning, collaboration and development of community development skills and knowledge at the local and regional level.

5.4 Support visioning, strategic planning and implementation, and resident engagement approaches to foster increased civic participation.

5.5 Support regional networks, partnerships, and other models of collaboration that catalyze public, private and nonprofit action for regional impact.

5.6 Support networks, partnerships, and other models of collaboration that catalyze public, private and nonprofit action for community impact.

5.7 Foster civic entrepreneurship

5.8 Assist in the local revitalization efforts that will increase the physical and economic revitalization of communities, neighborhoods and downtowns.
VIRGINIA ARC FUNDING

IMPLEMENTATION STRATEGY

Approximately $3,000,000 is expected to be available in this round of funding for ARC projects. DHCD reserves the option to add additional ARC funds, if available. Final funding figures will be based on Virginia’s ARC allocation pending federal budget approval for fiscal year 2017.

This RFP and the funding available are for both the Area Development and Regional Initiatives program. Previous set-asaides for Regional Initiatives projects have now been incorporated into the Area Development program, which is a change from previous years. Former Regional Initiatives included Asset-Based Development, Energy Efficiency, and Telecommunications. Projects may still address these activities; however, there is not a separate RFP or set-aside.

Eligible funding requests must meet the following criteria:

- Are clearly described with achievable performance outcomes,
- Applicant has the capacity to implement the project,
- Non-ARC resources are in place to leverage the requested funds,
- Project will be implemented using a collaborative approach, and
- The project is sustainable.

ELIGIBLE APPLICANTS

Non-profit organizations, educational institutions, Local Development Districts (Planning District Commissions), and state and local governmental entities are eligible to apply for Virginia ARC funding. Private organizations or for-profit businesses are not eligible to apply.

Nonprofit organizations must demonstrate adequate capacity to be an eligible applicant for ARC funds. To show adequate capacity, an applicant must have full-time staff, a track record with managing comparable projects, and a current 501(c)3 status.

ECONOMIC STRESS DESIGNATIONS

Available ARC funding levels are based on the relative economic stress of the applicant’s locality. Each eligible locality is classified into one of five categories. A description of this process, from the ARC website (www.arc.gov) is as follows:

The Appalachian Regional Commission (ARC) uses an index-based county economic classification system to identify and monitor the economic status of Appalachian counties. The system involves the creation of a national index of county economic status through a comparison of each county's averages for three economic indicators—three-year average unemployment rate, per capita market income, and poverty rate—with national averages. The resulting values are summed and averaged to create a composite index value for each county. Each county in the nation is then ranked, based on its composite index value, with higher values indicating higher levels of distress.
**County Economic Levels**

Each Appalachian county is classified into one of five economic status designations, based on its position in the national ranking.

**Distressed**

Distressed counties are the most economically depressed counties. They rank in the worst 10 percent of the nation's counties.

**At-Risk**

At-Risk counties are those at risk of becoming economically distressed. They rank between the worst 10 percent and 25 percent of the nation's counties.

**Transitional**

Transitional counties are those transitioning between strong and weak economies. They make up the largest economic status designation. Transitional counties rank between the worst 25 percent and the best 25 percent of the nation's counties.

**Competitive**

Competitive counties are those that are able to compete in the national economy but are not in the highest 10 percent of the nation's counties. Counties ranking between the best 10 percent and 25 percent of the nation's counties are classified competitive.

**Attainment**

Attainment counties are the economically strongest counties. Counties ranking in the best 10 percent of the nation's counties are classified attainment.

**FUNDING AND MATCH**

**Available Funding - Area Development**

The maximum amount of Virginia ARC Area Development assistance available per project is $500,000 for a Construction project and $100,000 for a Non-Construction project.

**Required Match**

All Virginia ARC assistance must be matched dollar for dollar or 50% match with local (non-Federal) funding, unless the project is in a:

- Distressed locality which must provide a 20% match for 80% ARC funds,
- At-Risk locality which must provide a 30% match for 70% ARC funds, or
- Competitive locality, which must provide a 70% match for 30% ARC funds.

It is generally expected that this will be a cash match; however, in certain projects, it is appropriate for in-kind resources to make up a portion of the match. **In no case shall in-kind resources make up more than 50% of the required match of a project.**

**SUBMISSION REQUIREMENTS**

This RFP document is intended to serve as a reference as you prepare your application. The actual format and questions are available through DHCD’s online application system, Centralized Application Management System (CAMS). The system can be accessed by visiting www.dhcd.virgnia.gov and selecting CAMS in the upper-right hand corner.

It is strongly suggested that applicants contact DHCD to discuss the submission of their proposal. This discussion will ensure that the applicant’s proposed idea is eligible and will provide insight regarding DHCD’s investment philosophy on the proposed project.

All proposals must contain direct responses to all required items and must provide well organized information in the form of complete sentences and proper paragraphs. Only relevant attachments should be included and these should be organized as requested.
**ALL PROPOSALS MUST BE SUBMITTED THROUGH THE CAMS ON-LINE APPLICATION SYSTEM. CAMS CAN BE ACCESSED THROUGH THE DHCD WEBSITE AT www.dhcd.virginia.gov. SEE APPENDIX A FOR ADDITIONAL INFORMATION ON CAMS.**

**TIMETABLE**
The deadline for submission of 2017 ARC proposals is Wednesday, October 5, 2016.

DHCD anticipates completion of proposal reviews by late November 2016. Notice of funding decisions should follow in early 2017. All proposals should include performance targeting, outputs, and outcomes. Outcomes which can be achieved within the one year contract should be identified. Additional long-term outcomes may be projected for up to two years beyond contract expiration and must be tracked.

Proposals should assume the receipt of contracts and initiation of activities by, but no earlier than October 1, 2017. Be aware, if project activities begin prior to ARC approval and contract execution the entire project is at risk of being deemed ineligible. This includes activities funded by match that is used as leverage for the ARC request.

**ARC RESOURCES**
Applicants are encouraged to visit the ARC website at: www.arc.gov. This site contains numerous data sets, research reports, and links to other sources of information.

**PLANNING DISTRICT COMMISSION REVIEW**
Copies of proposals must be provided to the local Planning District Commission (Local Development District). This should be submitted to the PDC no later than October 5, 2016. The purpose of this requirement is to keep the PDC informed of potential projects. The PDCs are also available to assist applicants in developing projects. The PDC role is not to accept, reject, or otherwise screen these proposals.

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**Cumberland Plateau PDC**
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Phone: (276) 889-1778

**Mount Rogers PDC**
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**New River Valley PDC**
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**Roanoke Valley-Alleghany PDC**
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Central Shenandoah PDC  
Ms. Bonnie Riedesel  
112 MacTanly Place  
Staunton, Virginia 24401  
Phone: (540) 885-5174

West Piedmont PDC  
Mr. Dave Hoback  
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Martinsville, Virginia 24115  
Phone: (276) 638-3987

LETTERS OF BENEFIT AND SUPPORT COMMITMENT:  
Applicants must be prepared to provide letters and other documentation which provide confirmation that the project will benefit the number of individuals it is targeting.

Applicants are strongly encouraged to solicit letters from proposed beneficiaries. Letters from potential project partners should be available as evidence of additional project support.

PROPOSAL REVIEW PROCESS  
The ARC proposal review is a two-step process.

First, DHCD will reviews proposals for completeness in accordance with ARC requirements. If clarifications are needed, DHCD staff will notify the applicant and provide a timeline for a response. The projects will be reviewed using the criteria and priorities established in this Virginia Annual Strategy Statement. DHCD will develop a funding recommendation for the Governor’s office. The Governor will have final approval for the recommendation of a project for funding to the Appalachian Regional Commission (ARC). Please note the recommendation from the Governor’s office does not guarantee funding.

Second, following an announcement by the Governor’s office, DHCD will coordinate submission of recommended projects to ARC.

The submission to ARC will include required federal forms and may require the applicant to revise the application, provide clarification or additional information prior to submission. Failure to respond by the DHD deadline may jeopardize funding.

Please note that ARC may request additional information during their review. Final approval of funding under this program will be made at the discretion of ARC’s Federal Co-Chair.
**ASSET-BASED DEVELOPMENT**

ARC may be an appropriate funding source for Asset-Based Development projects with the goal to build a strong and sustainable asset-based economy, bringing jobs and prosperity to Appalachian communities while preserving their character. This program seeks to develop the cultural, natural, community, structural, and local business and economic assets of the Region.

Asset-based development does not focus on filling gaps in the development landscape, or on the provision of amenities, which often occurs at the expense of leveraging unique assets and community strengths. For example, supporting a unique community asset like the Carter Family Fold is a compelling asset-based activity, while engaging with local wellness centers, which are not unique to the Region and are based in many mid-sized communities, would not. In general, support for local ballets, provision of water or sewer lines, and studies for access roads do not fit well into an asset-based development framework.

**ELIGIBLE PROJECTS**

Implementation of projects which address one of the five key elements of asset-based development are eligible. The five elements are:

**Natural Assets**
Capitalizing on the Region’s mountains, rivers, forests, and lands (ecological assets) to promote economic development or to diversify the local economy; examples are:
- Promotion of outdoor sports and recreation
- Value-added farming, organic farming
- Sustainable timbering and value-added processing
- Development of communities as gateways to cultural amenities

**Leadership/Community Assets**
Training and preparation of local sparkplugs to be civic leaders; examples are:
- Business / leadership programs
- Technical assistance to establish boards or governing bodies

**Structural Assets**
Utilizing the existing built-environment Brownfield redevelopment; examples are:
- Finding new uses for old buildings, such as conversion of overlooked and underused facilities into business or commercial centers, business incubators, or educational uses
- Targeted aspects of downtown revitalization

**Business/Economic Assets**
Supporting local existing and expanding businesses; examples are:
- Innovative finance mechanisms
- Educating current and future entrepreneurs
- Physical or operational assistance to a small business incubator that provides a
broad array of entrepreneurial assistance services

- Market research and feasibility studies to identify potential areas for new business or business expansion
- Product development assistance resulting in the creation of new businesses and/or jobs

**Ineligible Projects**

The following types of proposals will be considered ineligible for 2017 Virginia ARC funding:

- Those that do not support the ARC goals for the Asset-Based Development projects, including those targeting assistance for non-local businesses
- Those that do not present clear outcomes for the Virginia ARC investment
- Those that do not add significantly to currently available resources
TELECOMMUNICATIONS

The Virginia ARC program may be appropriate for telecommunications projects that seek to stimulate economic growth and improve the standard of living in the Region through technology-related avenues.

ARC's Information Age Appalachia (IAA) program was created to promote the development of telecommunications in Appalachia, with a special focus on helping the Region's distressed counties.

IAA is engaged in helping make advanced communications systems a reality in all of Appalachia. IAA is built around four main pillars below:

I. Access to Infrastructure
   Broaden the availability of advanced telecommunications services by promoting increased infrastructure investments from both private sector and government sources.

II. Education, Training and Workforce Development
   Ensure that the region is supporting today’s workforce as well as developing the workforce of tomorrow by integrating technology into K-12 and continuing education programs plus expanding community awareness and training programs.

III. E-Commerce Readiness
   Improve the competitiveness of businesses in the region by increasing the adoption of e-commerce practices.

IV. Technology Sector Employment
   Increase employment in the technology sector for producer and user industries through investment and entrepreneurship support.

ELIGIBLE PROJECTS

Proposals will be accepted for the following project types:
• Planning
• System Development and Technical Support
• Implementation

Applicants may submit proposals that target a single project type or a combination of project types that marries either: a) Planning and System Development and Technical Support, or b) Planning and Implementation. Projects may not target all three of the project types. Applicants submitting for an implementation and/or a system development project must have completed a Regional Telecommunications Plan last year, and the proposed project must fit within this Plan.

Funding is available for System Development/Technical Support or Implementation projects. However, prior to funding either of these project types, there must be a Community Telecommunications Plan in place for the locality / region that will be served by the proposed project. This Community Telecommunications Plan must indicate that the locality has seriously considered its need and demand for the proposed project.

Examples of eligible project activities are:

Planning
• Regional Coordination: PDC wide or multi-county
• Public/Private Partnership strategy
• Community Telecommunications Plan
**System Development and Technical Support**
- Community Business Training and Education Program
- Establishment of Community Cooperative
- Establishment of E-Village
- Development of community tech support

**Physical Implementation**
- Installation of a wireless network
- Installation of “last mile” dark fiber loop
- Installation of a co-location facility/equipment

*Note:* Installation of “Backbone” is generally NOT an eligible activity.

**INELIGIBLE PROJECTS**

The following types of proposals will be considered ineligible for ARC funding:
- Those that do not support the ARC goals for the Appalachian Telecommunications projects, including those targeting assistance for non-local businesses such as the location of branch plants;
- Those that do not present clear outcomes for the ARC investment;
- Those that do not add significantly to currently available resources;
- Those that have no relevance to the use of telecommunications infrastructure; or,
- Those that include funding for efforts which exclude potential participants based on factors beyond business interest or skill level, including assistance based on religious affiliation or membership.
**ENERGY EFFICIENCY**

ARC funds may be available to support costs for the actual dollar amount of the Energy Efficiency components of a project. Energy Efficiency investment is intended for projects that have a broad, regional impact or that create a model for future efficiency improvements. General retrofitting of buildings for improved energy efficiency is a low priority for the ARC program. Energy Efficiency proposals must contain complete and detailed responses to the following items:

*Energy Efficiency Questions:*
Identify any energy efficient features to be utilized in this project. Describe any efforts that were made to improve the energy efficiency of the project, including a discussion of features that were considered but were not included.

Comment on any efforts that have been made to improve the energy efficiency of the proposed project. If any “green building” standards are being utilized, please identify them. Recommended areas that should be discussed are energy efficiency considerations related to the building design, materials used, and technology utilized.
PLANNING GRANTS

ARC funds may be available to support costs for planning grants. Planning Grants enable localities and organizations to conduct an assessment and develop effective strategies for solving local community needs. Eligibility under this program is subject to the following minimum criteria:

1. Must demonstrate both the need and rationale for the assessment;
2. Demonstrate broad community support and that local officials and stakeholders are fully committed to fully identifying and addressing local needs;
3. Must describe scope of work and demonstrate capacity of the grantee and/or consultant to perform and complete the planning process;
4. Demonstrate that the planning grant output (i.e. feasibility study, PAR/PER, etc) generally supports future project development to address identified local need;

Planning Grant Performance Measures

**Must complete CAMS application in its entirety**
APPENDIX A – CAMS

CAMS is DHCD’s on-line application and grant management system. All 2017 applications for ARC funding must be submitted through CAMS. You can access CAMS at:

https://dmz1.dhcd.virginia.gov/camsportal/Login.aspx

In order to access CAMS and complete an application for funding, organizations must complete a profile request. The request for a profile can be made by going to the CAMS site and selecting the “Registration” option. In order to register your organization, you will need your organizations DUNS number and FEIN number. Please allow up to five business days for DHCD to process and approve your registration request.

Once your organization has been registered, you may log in with your username and password and select the “Applications and Programs” option. From this page, select the “Apply” option and select “2017 Appalachian Regional Commission – Area Development” from the dropdown. A description of the program and the button to “apply” will appear.

As you complete the application, be sure to save each page. You have the option to close out of CAMS and return to the application at any time until the application is submitted or the deadline has passed.

If you need assistance with the registration or completion of the application in CAMS, please send an email request to the CAMS help team through the “contact us” link at the bottom of every page in CAMS. Someone will contact you as soon as possible to provide needed assistance.

Helpful Hints

1) Remember Construction projects are limited to not more than $500,000 per project request; non-construction projects are limited to not more than $100,000 per project request.

   For Construction projects, answer the additional Basic Agency and Energy-efficiency questions. If your project is for non-construction, enter “N/A” in the response field.

2) If your project also supports an Asset-Based Development, Telecommunications, or Energy-Efficiency effort, select that category as well for additional questions related to those categories. Refer to page 11 for more information on allowable project requests for these categories.

3) Save often! It is recommended to save after each question you answer.

4) There are required ATTACHMENTS and templates which must be completed based on the project type. Refer to the ATTACHMENT tab for instructions.
5) You may submit attachments to support your application. When doing so **you must provide a separate Table of Contents** to outline your attachments. The Table of Contents should indicate the name of the uploaded file and describe the contents of the attachment.

When naming your attachments, use file names which identify the attachment’s contents. For example, Preliminary Engineering Report, Operations Plan, Feasibility Study, Project Pictures, and so forth.

6) When including ATTACHMENTS, be sure to summarize key elements in the narrative section. Also use the questions in the narrative section to cite certain attachments.

7) Preview your application prior to submission.

8) You must complete all narrative responses. If a question does not apply, simply enter “N/A”.

9) If you experience difficulties, contact DHCD. You may contact Matt Weaver directly, however, also note DHCD has created a Help Desk to assist with troubleshooting. The Help Desk can be reached at: camshelp@dhcd.virginia.gov

Prior to contacting DHCD, please make note of:
   a) The specific issue (i.e. text is not saving)
   b) Your browser type (i.e. Internet Explorer)
   c) Your operating system (i.e. Windows 7)

10) Do not wait until the last minute to get started. Allow time to learn how CAMS operates and how to most efficiently utilize the system.
APPENDIX B — PROJECT SUMMARY FORMAT

(2 page maximum)

Project Title: Title of the Project

Project Grantee: Provide name, address, and contact information, including email.

County(ies) to be Served: List each county and its designation (e.g. transitional, distressed, etc.)

List the Distressed Area Census Tracts – Use the County Economic Status and Distressed Area report in your packet

Basic Agency: Not required for non-construction projects

Goal/Strategy: Identify the primary ARC Goal and State Objective which the project will address. Specify the State Strategies by number

Purpose: 1-2 sentence statement describing overall purpose of proposed project

Funding:

<table>
<thead>
<tr>
<th>Source</th>
<th>Amount</th>
<th>%’age</th>
<th>Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>ARC</td>
<td>$200,000</td>
<td>36 %</td>
<td>ARC AD</td>
</tr>
<tr>
<td>Federal</td>
<td>200,000</td>
<td>36 %</td>
<td>RD Grant</td>
</tr>
<tr>
<td>State</td>
<td>100,000</td>
<td>18 %</td>
<td>CDBG</td>
</tr>
<tr>
<td>Local</td>
<td>50,000</td>
<td>9 %</td>
<td>RD Loan</td>
</tr>
<tr>
<td>Local</td>
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</tr>
<tr>
<td>Total</td>
<td>$560,000</td>
<td>100 %</td>
<td></td>
</tr>
</tbody>
</table>

Description: Description of major activities to be conducted under grant proposal. The description should address who, what, where, when and how for each major activity.

Rationale:

- Critical circumstances that compel project to be funded
- Local, regional and/or state need for project
- Problems and/or issues that project will alleviate
- Why this project is a good investment of ARC funds and why the ARC funds are necessary
Benefit:

- Results and accomplishments to be derived from project
- Other non-quantifiable benefits (e.g. new partnerships, improved standard of living, etc.)

Output/Outcome:

- Identify output and outcome measurements (as defined by ARC, see Appendix D)
- Identify Outcomes of this proposal (as defined by ARC, see Appendix D)

Leveraged Private Investment:

Identify anticipated private sector commitments (with associated dollar amounts) and associated job impacts that follow as a result of this project for a three-year period following completion of the project. *Does not apply to Planning Projects.*
APPENDIX C — APPLICATION QUESTIONS

Goals & Strategies

1. Indicate which of the following four ARC Goals the proposed project will address. Select only ONE goal the proposed project will primarily address:
   a. Economic Opportunities
   b. Ready Workforce
   c. Critical Infrastructure
   d. Natural and Cultural Assets
   e. Leadership and Community Capacity

2. Indicate the State Objective to be addressed by the project. Select only ONE State objectives based on your ARC goal.
   a. Promote regional partnerships that support regional economic development, encourage activities that diversify the economic base, enhance entrepreneurial activities, and provide training and technical assistance to agents engaged in economic development.
   b. Develop a world class and highly skilled, competitive workforce that is well educated and appropriately trained for the job market, as well as provide adequate health care services throughout the Region.
   c. Assist communities to develop, expand, and upgrade infrastructure, including broadband to allow for greater access to resources and opportunities for increased economic growth and improve the quality of life.
   d. Natural and Cultural Assets: Assist communities in the preservation, development and promotion of natural and cultural assets for local economies and improve quality of life.
   e. Leadership and Community Capacity: Strengthen current and next generation local and regional leadership capacity to innovate, enhance collaborative and community based skills to improve quality of life in Appalachia Virginia.

3. Indicate the specific State Strategy based on Virginia ARC Objective selected in Question 2 for the proposed project. Please list the strategies by number and write out the strategy description that best fits the proposed project (do not just provide the number). Consult the 2017 Virginia Request for Proposal for additional information on the Goals and Virginia’s ARC Objectives and Strategies. You can find this document at the DHCD website.

4. Indicate if your project is a CONSTRUCTION or NON-CONSTRUCTION project. Note there are different forms and questions to be completed based on the type of project.

Project Description

5. Provide a one sentence statement describing the primary purpose of the proposed project, project activities and expected outcomes of the project. List all major activities such as
construction, expansion, or rehabilitation, jobs creation, water or sewer service, employment or health care, etc.

6. Discuss the proposed beneficiaries. Applicants must provide letters and other documentation which provide confirmation that the project will benefit the number of individuals it is targeting. Identify any individuals expressing an interest in participating as a project beneficiary, providing, also, their names and telephone numbers. Applicants are strongly encouraged to solicit letters from proposed beneficiaries and include in the ATTACHMENTS section.

7. Provide a detailed workplan, including a description of all major activities (what will be done, who will complete each activity) and timeline for starting and ending the project. Explain how this project is ready to proceed. Why is now the appropriate time to undertake this effort? (Note: Projects should be approved by ARC by August of 2017.) The start date should be projected to be no earlier than August 1, 2017. What steps have been taken to get the applicant and its proposed beneficiaries ready for this project? What is the level of energy and degree of will among the organization and its partners to see this effort through? Complete the Performance Target Table template in the ATTACHMENTS section.

8. Detail why ARC funding is needed at this time. In addressing the need for ARC resources, discuss why ARC funding is being sought in lieu of other funding.

For Construction Projects Only

9. Identify who will own the improvements and provide maintenance for the completed project (where applicable). Enter "N/A" if this does not apply.

10. Identify who will own and operate the facility.

11. Discuss efforts that have been made to improve the energy-efficiency and green-building practices of the project.

12. For industrial sites or community facilities, describe approaches to marketing the project to potential users and beneficiaries, including strategic sectors to be pursued and an explanation of who will be responsible for marketing activities.

Telecommunication Project Only

13. Discuss in detail which of the three eligible telecommunications project types this proposal addresses:

- Planning
- System Development and Technical Support
- Implementation

14. Discuss in detail how the proposed effort addresses one of the following ARC Telecommunications Focus Areas:

- Access to Infrastructure
- Education, Training and Workforce Development
- E-Commerce Readiness
• Technology Sector Employment

15. **Asset Based Development Project Only**

   *Asset-Based Development projects*- If you are applying for funding to support an asset-based development effort provide a response. If this question does not apply, enter "N/A".

   Discuss how this project addresses at least one of the five key elements of asset based development:
   • Cultural Assets
   • Natural Assets
   • Leadership/Community Assets
   • Structural Assets
   • Business/Economic Assets

**Relation to Other Local/Regional Activities**

16. Describe how the project addresses the priorities of local or regional community or economic development strategy or plans, and describe efforts to coordinate the project with other area economic development activities.

17. In what ways does the proposed activity represent progress toward addressing a regional strategy, such as a comprehensive economic development strategy or a local visioning process?

**Performance Measures and Benefits**¹ *(Please note that if your project has jobs created/retention or leverage private investment attach letters documenting private-sector funding or job commitments, if available).*

18. Describe proposed performance measures for each project activity. Please note that the proposed performance measures and project activities must be measureable.

   Outputs-Selecting an Output measure from Appendix E, please describe in detail the proposed project activities that will be implemented to achieve the associated output measures (quantifiable) to be accomplished. Please note that additional outputs not included in Appendix B may be included as well. Outputs **MUST** be specific, measurable, attainable, relative and time-bound. Percentages may also be used to supplement the application. *For example:*

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¹ For Construction Projects Only

**All projects:** If households, businesses, or other organizations will be served or improved, list the number of households (residences) served/improved separately from the number of businesses and other non-residential buildings, such as hospitals, schools, and churches.

**Water/sewer projects:** Estimate capacity constructed or repaired in millions of gallons per day (MGD), in addition to any other relevant performance measures.

**Water tank projects:** Estimate capacity constructed or repaired in millions of gallons (MG), in addition to any other relevant performance measures.

**Tourism projects:** Provide numerical (not percentage) estimates of new annual daytime visitors, new overnight visitors, and increased tourism revenues, in addition to any other relevant performance measures.

**Business, industrial parks, and speculative building projects:** Include projections for the jobs that will be created, the jobs that will be retained, the businesses that will be served, and the private investment that will be leveraged when the site is complete. List other performance measures if relevant to the project scope.
provision of at least 4 classroom and 4 individualized follow-up training sessions in business development to approximately 20 entrepreneurs.

19. List the expected outputs.

Outcomes- (Please note that if your project has jobs created/retention or leverage private investment attach letters documenting private-sector funding or job commitments, if available). Selecting an Outcome measure from Appendix E, please describe the overall desired goal or outcome measurement (including long-term benefit), specific ARC goal, specific state objective to be accomplished. The goal or outcome measure needs to be specific, measurable, attainable, relative and time-bound. Diversification of the local economy and reduction of out-commuting are examples of possible outcomes of a project that includes utility extensions to help an industry locate in a particular market. Consult Appendix B for examples of project Outcomes.

20. List the expected outcomes.

21. Describe additional project benefits likely to result from the project (i.e., positive impact of future economic development activity in the area).

22. If the proposed project is a continuation or expansion of an ongoing program (whether or not the program received ARC funding), describe the program’s outputs and outcomes to date, as well as other project milestones reached. Describe any evaluations tools or methods that were used to measure the accomplishment and progress.

**Project Location**

23. Please describe the locality (or localities) where the project will be located and the project’s service area by locality (or localities) (i.e. place name, boundaries, building, etc.) Please note to include (a) the geographic area for services and benefits for residents that are in relatively close proximity, or (b) the service area when residents are scattered over a wide area.

24. Provide a list of all census tracts in the service area and a map in the ATTACHMENTS of the project service area with census information overlaid. If your project only serves part of a Transitional or Competitive County, be very clear about which census tracts are included. ARC tracks activities and benefits in “areas of distress” within Distressed, At-Risk, Transitional, and Competitive Counties.

25. Attach maps(s) to illustrate the project’s location and service area, as well as proximity to distressed areas. Projects providing site improvements, a site map must show proposed improvements, including affected or proposed buildings or facilities, water and sewer lines, water storage tanks, treatment plants, drainage improvements, access roads, location of assisted industry(ies) on site plan, etc. The map(s) should show at least a 10-mile radius, clearly showing roads, appropriate service area, and significant landmarks.

26. Describe why the location and service areas were selected.
27. Identify those individuals and organizations, including names and telephone numbers, which have committed to support this project. Provide information on the type and level of support provided (in-kind and financial resources). Identify any individuals expressing an interest in participating as a project beneficiary, providing, also, their names and telephone numbers. Be prepared to provide letters from these individuals and entities documenting their role in the project upon request in the ATTACHMENTS tab.

**Project Rationale**

28. Indicate the NEED for the project. Describe problems and opportunities that the project will address, how these issues impact the community, will be addressed by the proposed project, and the need for ARC resources. Explain how the proposed project will fill a void in current services or programs. This section should address the extent to which there is a need for your proposed project. In addressing project needs, discuss any relevant data (include sources) derived from plans, studies, statistics, or engineering reports. The sources of such data should be cited (title, date, page numbers) and include documentation in the ATTACHMENTS section but remember to summarize all key elements in your narrative. There must be a direct and substantial relationship between the proposed project, ARC goal and state objective, and documented community need.

29. Describe and provide evidence of the local/regional demand for the project, and provide letters from key stakeholders, customer data, etc. to support these claims in the ATTACHMENTS section.

30. Explain why the project is the most practical, cost effective, and beneficial way to achieve the desired results when compared to other alternatives.

**Sustainability and Grantee Capacity**

31. **Note to Nonprofits**
   Nonprofit organizations applying for ARC funding must provide the following. Be sure to include these items in the ATTACHMENTS tab.

32. A copy of their current designation as a 501(c)3 nonprofit organization.

33. A listing of the number and positions of full-time and part-time staff of the organization. For smaller organizations this should include all staff, while for larger organizations (>15 employees) this can include only the staff associated with this project.

34. A detailed synopsis of similar projects managed and implemented by the organization within the last three years.

35. Indicate the organization type (i.e. local government, educational institution, nonprofit, etc.) Provide a statement of the other business conducted by this organization and the relationship of this project to this other business.
36. Briefly describe your capacity to undertake the proposed activity by describing previous experience with relevant activities. Provide the name, description, performance targets, and outcome of two of the most similar and recent projects implemented by this organization.

37. Describe your experience in managing local, state and federal grants awards.

38. Provide the names and describe the qualifications (i.e. the skills, knowledge, and attributes each individual possesses and the value they provide to the overall project effort) of key individuals who will be responsible for managing and or carrying out implementation.

39. If your project involves the procurement of any consultant(s), describe the process that will be used to select this consultant. Will the consultant be solicited through a Request for Proposal (RFP)? Who will be responsible for evaluating and selecting the best response? What criteria will be used to determine the most suitable responses? Describe proposed qualifications for all consultants and subcontractors, and describe the competitive procedures used to select them.

40. Provide a detailed work plan, including a description of all major project activities (what will be done, who will complete each activity) and a timeline for starting and ending the project. Identify and discuss methods you will use to document activities, progress, and program effectiveness. Identify any potential barriers to implementing the project and how these barriers will be overcome. Explain how you will make necessary changes to improve program performance.

Describe your strategy for ensuring the project’s long term sustainability once ARC funds are no longer available, and your capacity to manage long-term operations. Discuss the plans for continuation of the project following initial ARC funding (once ARC funds have been expended). For projects involving construction or renovation of a community facility, tourism facility, hospital, business incubator, commercial kitchen, or similar facilities include 5-year pro forma financial projections to demonstrate sustainability. Projections should be prepared by a third party.

Budget Information and Supporting Materials

Required Budget Forms to be completed:

a. Complete the 424A or 424C form template in the ATTACHMENTS section

b. Complete the BUDGET AND DERIVATION template in the ATTACHMENTS section. In completing this template be sure to list the specific project activities and the proposed funding to support each activity; it is critical to provide a high level of detail to illustrate exactly which activities will be supported with ARC funds. Be sure to accurately document the source of cost information. Complete the Construction or Non-Construction budget form and upload any applicable letters of commitment from each funding source, citing the specific amount of funds committed, in the ATTACHMENTS section.

41. Please list all other funding sources (private, local, state, federal, etc.), please state if source is a grant or loan. Please include the status of all non-ARC funds (i.e. pending, committed, application submit, etc) of other sources and state whether implementation of the project is
contingent on receiving the funds from these sources. If the project is contingent on these funds, please provide an explanation as to how the project will be implemented without these funds (i.e. scaled back, reduced beneficiaries or participants, etc.)

42. Provide a detailed budget narrative explaining of anticipated expenditures by the line item listed on Standard 424A (non-construction) or 424C (construction) form, including expenses in the “other” line item, if applicable.

43. If the budget includes personnel or contractual expenses (cash or in-kind resources), estimate the number of hours/days and hourly rate (or portion of FTE and salary) for the time that is expected to be spent on the proposed activity by key personnel, contractors, or consultants. (After grant is awarded, all time should be tracked by actual hours worked for each individual.)

44. Provide descriptions of specific in-kind resources committed, including the methods used to determine their value.

45. Provide calculations of required match – ARC project match rates are dependent on the economic status of the counties the project serves. List each locality your project will serve and the economic status of each.
   a. If your project will serve multiple localities with the same economic designation, see page 8 of the RFP to determine the ARC match rate for your project.
   b. If your project will serve more than one locality, and the localities have different ARC economic designations, contact your state ARC program manager to identify the match rate for your project.

46. Leveraged Private Investment: Identify private sector commitments that follow as a result of the completion of this project. Leveraged private investment is different from the funds that leverage the ARC request and are a part of the project budget, and not all proposals will include leveraged private investment. Estimates for the leveraged private investment and associated job impacts for a three-year period following the completion of this project should be included. Letters of commitments by private companies should be available.

   For example: Amount of private funds invested in relocating to the project area by Business X as a result of the installation of and access to telecommunications / fiber optics.
APPENDIX D — ATTACHMENT INSTRUCTIONS

You may submit attachments to support your application. When doing so you must provide a separate Table of Contents to outline your attachments. The Table of Contents should indicate the name of the uploaded file and describe the contents of the attachment. When naming your attachments, use file names which identify the attachment’s contents. For example, Preliminary Engineering Report, Operations Plan, Feasibility Study, Project Pictures, and so forth. When including ATTACHMENTS, be sure to summarize key elements in the narrative section. Also use the narrative section to cite certain attachments.

ATTACHMENTS
Proposal Summary: To be completed by all applicants. Attach a maximum 2-page proposal summary in Word in the format shown. Be sure that all budget figures match your derivation of cost worksheet, project budget, and any other supporting documentation.

424 Budget: Attach either the SF424C (Construction) or SF424A (Non-Construction) budget for your project.

Maps: Attach maps if needed to illustrate the project’s service area and proximity to distressed areas and for projects providing site improvements, a site map showing proposed improvements, including affected or proposed buildings or facilities, water and sewer lines, treatment plants, access roads, location of assisted industry(ies) on site plan, etc. For all projects, be prepared to provide a map within at least a 10-mile radius, clearly showing roads or appropriate service area at a later time. ALL maps should be LEGIBLE and contain a scale which is clearly marked on the map.

Performance Target Table: To be completed by all applicants. This illustrates the key milestones for the project.

Budget and Derivation Template: To be completed by all applicants. Details the source, amount, and status of funds, along with how costs were derived. Please be sure this figure matches the figures on the Proposal Summary and the Project Budget.

Letters of Support - Attach letters from key stakeholders in support of this project.

PER / PAR / Master Plan: Attach a copy of the PER/PAR or other relevant study for the project. If more than one document, please combine into a zip file. Construction Projects – Provide a detailed engineer’s budget for the construction project, detailing line-item project costs. Project total from the engineer’s budget should match budget figures elsewhere in the application. Explain any discrepancies. Non-construction Projects – Provide a detailed budget that includes sources and uses of ARC funds and all non-ARC matching funds. Provide a budget narrative that explains expenditures by line items shown on the SF424A.

Commitment Letters: Attach signed award letters or other documentation showing other funds in the project have been committed.
Basic Agency: All construction projects must have a Basic Agency identified to administer the grant. Identify the proposed Basic Federal Administering Agency and the status of that agency’s approval of this project, specifically whether a pre-application or application was submitted and whether that application was approved. Contact DHCD if you need help in identifying the Basic Agency.

Table of Contents: To be completed if additional attachments are included. Should indicate the name of the uploaded file and describe the contents of the attachment. Remember to name attachments based on their content.

The other fields are for additional items in support of your proposal. Please name the files according the content.
APPENDIX E — PERFORMANCE MEASURES
Guide to ARC Project Performance Measures

All ARC projects must have documented output and outcome performance measures. Estimated measures are included in project applications and actual measures are reported in the project closeout reports. This guide lists and defines the performance measures that may be used for ARC projects.

Every ARC project must have at least one output measure and one outcome measure from the lists below. Some output measures can be used with a range of outcome measures (these are called “stand-alone measures”), and some output measures must be used with specific outcome measures (these are called “paired measures”). Projects that have paired output and outcome measures may also have a stand-alone output or outcome measure with no corresponding measure.

Stand-Alone Performance Measures

Stand-alone output measures can be used with any of the outcome measures on the stand-alone outcome measures list below.

Stand-Alone Output Measures
- access road miles
- acreage
- energy capacity (KWh/KW)
- linear feet
- new visitors: days
- new visitors: overnights
- plans/reports
- square feet
- system capacity (MGD/MG)

Stand-Alone Outcome Measures
- businesses created
- costs reduced
- housing units constructed/rehabbed
- jobs created
- jobs retained
- leveraged private investment (LPI)
- programs implemented
- revenues increased: export sales
- revenues increased: non-export sales
- telecom sites

Paired Performance Measures

The output and outcome measures below must be paired as shown.

<table>
<thead>
<tr>
<th>Output Measure</th>
<th>Outcome Measure</th>
</tr>
</thead>
<tbody>
<tr>
<td>businesses served</td>
<td>businesses improved</td>
</tr>
<tr>
<td>communities served</td>
<td>communities improved</td>
</tr>
<tr>
<td>households served</td>
<td>households improved</td>
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<tr>
<td>organizations served</td>
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<tr>
<td>participants served</td>
<td>participants improved</td>
</tr>
<tr>
<td>patients served</td>
<td>patients improved</td>
</tr>
<tr>
<td>students served</td>
<td>students improved</td>
</tr>
<tr>
<td>workers/trainees served</td>
<td>workers/trainees improved</td>
</tr>
</tbody>
</table>
Definitions: Outputs

Access Road Miles
The length of the access roads constructed as part of the project, in miles or decimals of miles (not linear feet).

Acreage
The number of acres impacted by an ARC site-development or reclamation project, such as the number of acres graded and prepared for development at an emerging industrial park, the number of acres open to future development, or the number of acres remediated in a reclamation project.

Businesses Served
The number of businesses served by an ARC project, including entrepreneurship projects, business technical assistance, and infrastructure projects.

Communities Served
The number of communities served by an ARC project, including projects that improve planning, civic participation, infrastructure, and educational opportunities. For consolidated technical assistance grants, the number of communities served is the number of projects submitted by state ARC program offices.

Energy Capacity (KWh/KW)
The number of kilowatt hours saved by energy efficiency projects, or kilowatts produced by renewable energy projects within one year of project implementation.

Households Served
The number of households served by an ARC infrastructure project. This includes either the number of households with access to a new service (e.g., water, sewer, gas line, or telecommunications) or the number of households with improved infrastructure (e.g., improvements in health or safety, compliance with environmental quality, improved water pressure).

Linear Feet
The number of linear feet of pipe, wire, cable, trails, etc. to be constructed or installed.

New Visitors: Days
The number of new daytime visitors to a tourism destination times the number of days they visit, within one year of project implementation.

New Visitors: Overnights
The number of new overnight visitors to a tourism destination times the number of their overnight stays, within one year of project implementation.

Organizations Served
The number of organizations served by an ARC project, including hospitals, schools, churches, non-profits, non-governmental organizations, and government agencies (use when number of businesses or households does not apply).

Patients Served
The number of unique patients receiving clinical services one or more times as a result of an ARC health project. For equipment projects, report the number of patients served during the project period and one year after the equipment is deployed. For health projects that do not provide clinical services (such as health promotion activities), use the measure “participants served.”
**Participants Served**
The number of individual participants that can be served or are targeted by an ARC project (use when patients, students, or worker/trainee measures do not apply). This can include the number of attendees at a meeting, workshop or conference. For example, the number of individuals participating in a planning process; or the number of individuals attending health promotion activities.

**Plans/Reports**
The number of plans or reports developed as a result of an ARC project. This could include strategic plans, master plans, concept plans, or plans for infrastructure improvements or new programs, as well as research reports, feasibility studies, etc. This measure is often paired with the outcome “Programs Implemented,” since a program or specific activity is often implemented as a result of a planning process.

**Square Feet**
The number of square feet constructed or improved by an ARC project, such as the square footage of a renovated community center, a newly constructed parking lot, a reconfigured interior space, etc.

**Students Served**
The number of students that an ARC education project will be able to serve, measured during the project period, when possible (e.g., the number of students served by a science and technology program in a given semester or year). For projects that are not fully operational during the project period, the measurement time period may be extended up to three years after the project end date. Projects that expand existing programs count only the additional number of students served.

**System Capacity (MGD/MG)**
The capacity of a water or sewer system, in millions of gallons per day; or the capacity of a water tank, in millions of gallons. This includes the capacity of a new water or sewage treatment plant or water tank, or the increase in capacity of a plant due to renovation, new equipment, or other improvements. This measure may be expressed in decimals.

**Workers/Trainees Served**
The number of worker/trainees that an ARC training project will be able to serve, measured during the project period when possible. For example, the number of worker/trainees the project will be able to enroll in a new workforce education program. For projects that are not fully operational during the project period, the measurement time period may be extended up to three years after the project end date. Projects that expand existing programs count only the additional number of workers/trainees that the project will be able to serve.

**Definitions: Outcomes**

**Businesses Created**
New businesses created as a result of an ARC project. This is generally a secondary measure in business development projects such as entrepreneurship training, value-added agriculture, access to capital, or business accelerators/incubators. The grantee should estimate how many new businesses will be created within three years of the project end date.

**Businesses Improved**
The number of businesses with a measureable improvement as a result of an ARC project. For each project this number is always the same as, or a subset of, the “businesses served” output measure.
Communities Improved
The number of communities with a measurable improvement as a result of an ARC project, including projects that improve planning, civic participation, infrastructure, and educational opportunities. This measure should also be used for consolidated technical assistance grants. The “communities improved” number will always be the same as, or a subset of, the “communities served” output measure.

Costs Reduced
Costs reduced as a result of project activities, within one year of project implementation. For example, small business technical assistance may help a business streamline and cut costs, or an energy-efficiency program may help to reduce energy costs, through a renegotiated flat fee for energy use or through a reduction in kilowatt hours used. See the output measure “energy capacity.”

Households Improved
The number of households with measurable improvement as a result of an ARC project. For each project this number is always the same as, or a subset of, the “households served” output measure. For infrastructure projects, the output and outcome numbers are usually the same.

Housing Units Constructed/Rehabbed
The number of housing units constructed or rehabilitated as a part of an ARC housing or community development project.

Jobs Created
The projected number of jobs (direct hires, excluding construction jobs) that will result from an ARC project, measured during the project period and up to three years after the project end date. Part-time and seasonal jobs should be converted to full-time equivalents and rounded up to whole numbers. Note: for infrastructure projects, employers must provide letters stating their intention to create a specific number of new jobs; for non-infrastructure jobs, applicants should estimate the number of jobs that will be created by the organizations expected to benefit from the project.

Jobs Retained
The number of jobs that would be lost or relocated without the ARC project, measured during the project period and up to three years after the project end date. Existing jobs benefitting from an infrastructure upgrade cannot be counted as jobs retained. Note: for infrastructure projects, employers must provide letters explicitly stating the number of jobs at risk, due to loss of competitiveness or relocation, without the project. For non-infrastructure projects, applicants should estimate the number of existing jobs that would be at risk, due to loss of competitiveness or relocation, without the ARC-funded project.

Leveraged Private Investment (LPI)
The dollar amount of private-sector financial commitments, outside of project costs, that result from a project, measured during the project period and up to three years after the project end date. Note: for infrastructure projects, businesses must provide letters stating their intention to make a specific level of investment if the project is funded; for non-infrastructure jobs, applicants should estimate the dollar value of investments that will be made by the company or companies that will benefit from the project.

Organizations Improved
The number of organizations with a measurable improvement as a result of an ARC project. This number is always the same as, or a subset of, the “organizations served” output measure.

Patients Improved
The number of unique patients expected to benefit from an ARC health project. Because it is usually assumed that all patients served by a health project receive some benefit from it, the numbers for
“patients served” and “patients improved” is usually the same. However, if the project grantee can perform clinical measurement of health outcomes, the outcome number may be lower than the output number. For example, if 30 obese patients participate in an exercise program and 25 are expected to lower their BMI by a certain percentage, the output could be recorded as 30 patients served and the outcome as 25 patients improved.

**Participants Improved**
The number of participants with a measurable improvement as a result of the project (use when patients, students, or worker/trainee measures do not apply). A plan and a method for measuring the degree of improvement must be provided. This number is always the same as, or a subset of, the “participants served” output measure. If outcomes are not achieved or cannot be measured within three years after the project is completed, the number of participants that complete or attend all or a required number of components of the project activity may be substituted. For example, the number of participants that attend at least four out of the five community workshops offered.

**Programs Implemented**
The number of new programs, or the number of ongoing activities related to a defined goal, that are implemented as a result of an ARC project. If possible, use with other measures that indicate the results of the project, such as students, workers, participants, etc.

**Revenues Increased: Export Sales**
The increase in revenue in export sales that a business or businesses realize as a result of an ARC project, within three years of the project end date.

**Revenues Increased: Non-Export Sales**
The increase in revenue in domestic (non-export) sales that a business or businesses realize as a result of an ARC project, within three years of the project end date.

**Students Improved**
The number of students who obtain a job in the field for which they were specifically trained; the number that receive a diploma, certificate or other career credential; or the number of students who successfully complete a course or unit of study and/or graduate to the next grade or level necessary to continue their education. When outcomes occur after the project period, the number of students improved may be counted up to three years beyond the project end date. For programs where final outcomes are achieved after three or more years, the number of students improved may be counted by an alternative benchmark, such as the number of students completing a skill, grade, or level, or continued enrollment for the project period. This number is always the same as, or a subset of, the “students served” output measure.

**Telecom Sites**
The number of new telecom services installed as a result of an ARC project. This diverse measure includes, but is not limited to, new telemedicine sites, new wi-fi hotspots, a new wireless router or computer lab at a high school, new fiber run to an industrial site, a new antenna used to provide broadband service, etc.
**Workers/Trainees Improved**

The number of workers/trainees with improved skills that enable them to obtain employment or to enhance their current employment. For example, the number of workers or trainees obtaining a new job; getting higher pay or a better position; or receiving a certification, measured during the project period when possible. When outcomes occur after the project period, the number of workers or trainees improved may be counted up to three years beyond the project end date. For programs where outcomes are achieved after three or more years, the number of students improved may be counted by an alternative benchmark, such as completion of a skill, level/course, or continued enrollment for the project period. This number is always the same as, or a subset of, the “workers/trainees served” output measure.
What are Outcomes and Outputs? How are they connected?

**Outputs:** Outputs are the activities or deliverables that will be accomplished as a result of a grant. Outputs are generally described as deliverables or milestones in a work plan or timeline. Outputs include things like the number of workers trained, square feet developed, or new day visitors to a facility. Outputs are generally accomplished during the life of the grant.

**Outcomes:** Outcomes are the measurable impacts or results of the work of the grant. Outcomes sometimes occur after the completion of the grant.

### Tips for Paired Measures

If the project will result in stakeholders or groups that will be served (i.e., inputs such as: students, businesses, organizations, or patients), then the grantee must also measure the number of stakeholders or groups that will be improved (i.e., outcomes such as: students, businesses, organizations, or patients). For example, if a project results in 100 households served (output), then the project must also estimate how many will be improved (outcome). The improved (outcome) number is always the same as, or a subset of, the served (output) measure.
### Sample ARC Project Types & Performance Measures

The following chart lists examples of common ARC project types and the performance measures that are typically tracked by these projects. ARC grant applicants must select at least one output and one outcome per ARC project, though not necessarily all the performance measures listed below. Applicants may be asked to demonstrate how they estimated projected measures and how they will verify the measures during the course of the project.

If selected, paired measures must be used together. Paired measures are linked with an arrow in the chart below.

<table>
<thead>
<tr>
<th>PROJECT TYPE</th>
<th>COMMON OUTPUTS</th>
<th>COMMON OUTCOMES</th>
</tr>
</thead>
<tbody>
<tr>
<td>Water, sewer, or infrastructure construction project</td>
<td>Households served</td>
<td>Households improved</td>
</tr>
<tr>
<td></td>
<td>Businesses served</td>
<td>Businesses improved</td>
</tr>
<tr>
<td></td>
<td>MGD capacity</td>
<td>Jobs created</td>
</tr>
<tr>
<td></td>
<td>Linear feet</td>
<td>Jobs retained</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Leveraged private investment</td>
</tr>
<tr>
<td>Tourism facility, revitalization, or trail construction project</td>
<td>Communities served</td>
<td>Communities improved</td>
</tr>
<tr>
<td></td>
<td>Businesses served</td>
<td>Businesses improved</td>
</tr>
<tr>
<td></td>
<td>Square feet</td>
<td>Non-export (tourism) revenues increased</td>
</tr>
<tr>
<td></td>
<td>Acreage</td>
<td></td>
</tr>
<tr>
<td></td>
<td>New visitors - days</td>
<td></td>
</tr>
<tr>
<td></td>
<td>New visitors – overnights</td>
<td></td>
</tr>
<tr>
<td>Education or workforce development project</td>
<td>Students served</td>
<td>Students improved</td>
</tr>
<tr>
<td></td>
<td>Workers/trainees served</td>
<td>Workers/trainees improved</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Programs implemented</td>
</tr>
<tr>
<td>Healthcare access, health promotion project</td>
<td>Communities served</td>
<td>Communities improved</td>
</tr>
<tr>
<td></td>
<td>Patients served</td>
<td>Patients improved</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Programs implemented</td>
</tr>
</tbody>
</table>
### Sample ARC Project Types & Performance Measures, cont’d.

<table>
<thead>
<tr>
<th>PROJECT TYPE</th>
<th>COMMON OUTPUTS</th>
<th>COMMON OUTCOMES</th>
</tr>
</thead>
</table>
| Business Development, Entrepreneurship project | Businesses served      | Businesses improved  
New businesses created  
Jobs created  
Jobs retained  
Leveraged private investment  
Export revenues increased  
Non-export revenues increased |
| Local access road project                 | Businesses served       | Businesses improved  
Jobs created  
Jobs retained  
Revenues increased  
LPI                                      |
| Access road miles                         |                         |                                                                                 |